EXPRESS MAIL LABEL NO.: EL 872 630 820 US

Form PTO-1449

Sheet 1 of 2

Applicant:

Mark Lucovsky, et al.

Serial No.:

10/003,767 October 22, 2001 Att'y Docket No.: 13768.198.6

Group: 2131

Filing Date: Confirmation No.:

4885

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DATA STRUCTURES

PPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

### **U.S. Patent Documents**

Examiner Initial*	Patent Number	Issue <u>Date</u>	<u>Name</u>	Class	Sub <u>Class</u>	Filing Date
<u></u> A1.	5,956,715	09/21/99	Glasser et al.	707	9	09/23/96
<u>JC</u> A2.	6,202,066	03/13/01	Barkley et al.	707	9	11/18/98

#### Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial\*

Caswell, Deborah L., "An Evolution of DCE Authorization Services," Hewlett-Packard Journal, Vol. 46, No. 6, pages 49-54, December 1995.

#### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not

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the English language, and should be submitted in a timely manner as set out in MPEP Sec.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Date Considered:

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## INFORMATION DISCLOSURE CITATION

Docket Number (Options	Application Number
13768	Not Yet Assigned
Applicant(s) Mark Lucovsky, et al.	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)										
Title: Security requirements for web-services; Author Jerbic, M.; Report Title: Position papers for World Wide Web consortium (W3C) workshop on web services; p p. 28-33 Issued by: Hewlett-Packard Lab., Bristol, UK; Publication Date: 2001 Country of Publication: UK; 50 pp.										
Ŗ		2	Title: A role-based access control model for XML repositories; Author Hao He; Wong, R.K. Conference Title: Proceedings of the First International Conference on Web Information Systems Engineering; Part vol.1 p. 138-45 vol.1 Publication Date: 2000; Country of Publication: USA; 2 vol.(xv+498+xvi+219); pp. ISBN: 0 7695 0577 5; Material Identity Number: XX-2000-02089 Conference Title: Proceedings of WISE 2000: 1st International Conference on Web Information Systems Engineering; Conference Date: 19-21 June 2000 Conference Location: Hong Kong, China							
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# Docket Number (Optional) Application Number 13768 Not yet Assigned INFORMATION DISCLOSURE CITATION Applicant(s) Mark Lucovsky, et al. (Use several sheets if necessary) Filing Date Group Art Unit October 22, 2001 Not Yet Assigned \*EXAMINER OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) INITIAL Simple Object Access Protocol (SOAP) 1.1 W3C Note 08 May 2000 Don Box, DevelopMentor; David Ehnebuske, IBM; Gopal Kakivaya, Microsoft; Andrew Layman, Microsoft; Noah Mendelsohn, Lotus Development Corp.; Henrik Frystyk Nielsen, Microsoft; Satish Thatte Microsoft; Dave Winer, UserLand Software, Inc.

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